

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/629,895

Applicant : John J. ROSSI et al.

Filed : July 30, 2003

TC/A.U. : 1646

Examiner : To Be Assigned

Docket No. : 1954-413 Customer No. : 06449 Confirmation No. : 8585

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant submits herewith copies of publications that the Office may wish to consider in examination of the subject application. The publications are listed on the attached form PTO-1449.

Respectfully submitted,

Michael G. Sillivan

Attorney for Applicants Registration No. 35,377

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202) 783-6040

Enclosures: PTO-1449 Forms Eight References

1954-413.ids.wpd

101	PE	\' a ''													
MAY 0 4 2006 E						Complete if Known									
MAI						Application Number 10/629,895									
NEORMASON DISCLOSURE					Fil	ling D	ate		July 30, 2003						
STATEMENT BY APPLICANT						rst Na	ame	d Inventor	John J. ROSSI et al.						
					Gr	oup .	Art l	Unit	1646						
					Ex	camir	ner N	Name	To Be Assigned						
Sheet 1 of 2				At	torne	y Do	ocket Number	1954-413							
		'			U.S.	PATI	ENT	DOCUMENTS	<u> </u>						
			ent	nt			entee or Applicant d Document								
Examiner Initials*	Cite No.1					nd Code ² if known)			Name of Pate of Cited	Date of Publication of Cited Document MM-DD-YYYY					
	AA	6,100,087					R	Rossi et al.			08-08-2000				
•							1								
							T								
											-				
	<u>.</u>						T								
3.															
				FC	REIG	SN P	ATE	NT DOCUMEN	TS						
		Foreign Patent Document													
Examiner Initials*	Cite No. ¹	Office ³ Number				Kind ⁵ (if known)		Name of Patentee of Applicant of Cited Document			Date of Publication of Cited Document MM-DD-YYYY				
Examiner Signature									Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

0	IPA	\									
MAY 0	4 2004	S .		Complete if Known							
		y		Application Number	10/629,895						
INTO	MARK	N DISCL		Filing Date	July 30, 2003						
SIAIL	=MEN I	BY APP	LICANI	First Named Inventor	John J. ROSSI et al.						
				Group Art Unit	1646						
				Examiner Name	To Be Assigned						
Sheet	2	of	2	Attorney Docket Number	1954-413						
			NON PA	TENT LITERATURE DOCUM	WENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published									
	AB	Bertrand, Edouard, et al., "The expression cassette determines the functional activity of ribozymes in mammalian cells by controlling their intracellular localization," <i>RNA</i> 3:75-88, 1997.									
	AC	Brummelkamp, Thijn R., et al., "A System for Stable Expression of Short Interfering RNAs in Mammalian Cells," <i>Science</i> 296:550-553, April 19, 2002.									
	AD	Cagnon, Laurence, et al., "Downregulation of the CCR5 β-Chemokine Receptor and Inhibition of HIV-1 Infection by Stable VA1-Ribozyme Chimeric Transcripts," <i>Antisense & Nucleic Acid Drug Development</i> 10:251-261, 2000.									
٠	AE	Elbashir, Sayda M., et al., "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells," <i>Nature</i> 411:494-498, May 24, 2001.									
•	AF	Good, P.D., et al., "Expression of small, therapeutic RNAs in human cell nuclei," <i>Gene Therapy</i> 4:45-54, 1997.									
	AG	Paul, Cynthia P., et al., "Effective expression of small interfering RNA in human cells," <i>Nature Biotechnology</i> 20:505-508, May 2002.									
	АН	Yu, Jenn-Yah, et al., "RNA interference by expression of short-interfering RNAs and hairpin RNAs in mammalian cells," <i>PNAS</i> 99(9):6047-6052, April 2002.									
	AI	Sharp, Phillip A., et al., "RNA interference - 2001," Genes & Development 15:485-490, 2001.									
Examiner Signature					Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1Unique citation designation number. 2Applicant is to place a check mark here if English language Translation is attached.